

REQUIREMENTS FOR ENVIRONMENTAL IMPACT ASSESSMENT SYSTEM

I. Clearance Required

All agencies and instrumentalities of the national government including government owned and controlled corporations as well as private cooperating firms and entities to prepare an Environmental Impact Statement (EIS) for every action, project or undertaking, which significantly affects the quality of the environment. Section 4 of PD 1586 thereof provides that no person, partnership or corporation shall undertake or operate in any part such declared environmentally critical project (ECP) and environmentally critical area (ECA) without first securing Environmental Compliance Certificate (ECC).

The following are the categories of projects/undertakings under EIS system:

- Category A. Environmentally Critical Projects (ECPs) with significant potential to cause negative environmental impacts
- Category B. Projects that are not categorized as ECPs, but which may cause negative environmental impacts because they are located in Environmentally Critical Areas (ECAs)
- Category C. Projects intended to directly enhance environmental quality or address existing environmental problems not falling under Category A or B.
- Category D. Projects unlikely to cause adverse environmental impacts.

All other activities not covered by the EIS system may have the option to secure Certificate of Non-Coverage (CNC) as provided for in DAO 03-30.

I.1 Application for CNC

Requirements:

I.1.1 Project Established Prior to 1982

Requirements:

- i Project Description (DAO 30, Series of 2003)
- ii Proof of existence before 1982, any of the following
 1. SEC Registration (page 1)
 2. Business permit or its equivalent
 3. Duly certified copy of Lease Agreements issued (if applicable)
 4. Other proofs (i.e Municipal Assessor, BIR)

I.1.2 Projects Not Located in ECA and Non-ECP

Requirements:

- i Absolute non-ECA certification satisfying PP 2146 From concerned government agencies

I.1.3 Projects Not Covered per Criteria

Requirements:

- i Project Description (DAO 30, Series of 2003)
- ii Application Form to include criteria checklist

I.1.4 Environmental Enhancement or Direct Mitigation

Requirements:

- i Project Description (DAO 30, Series of 2003)
- ii Environmental Management Plan

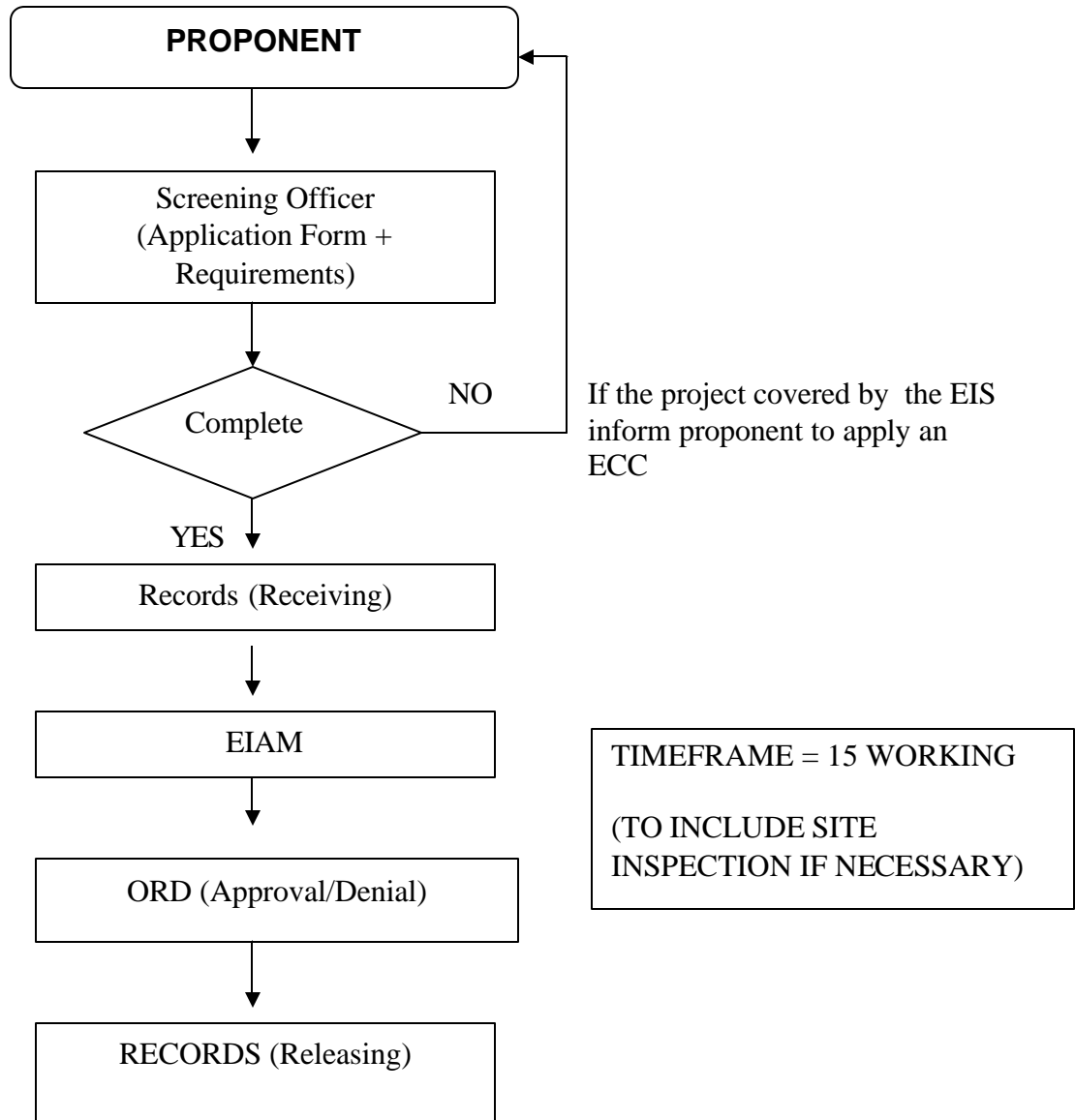
I.1.5 Projects unlikely to cause adverse environmental impacts

Requirements:

- i Project Description (DAO 30, Series of 2003)

PROCEDURAL FLOW

APPLICATION FOR CERTIFICATE OF NON-COVERAGE (CNC)



Steps/Procedure:

1. Secure/Fill up application form from EMB regional offices.
2. Submit filled up form and supporting documents to EMB for initial screening (3 sets). To determine if the project covered by EIS system or not
3. If documents are complete, pay the required filling and processing fee, if not complete return to the proponent
4. Submit the documents to the receiving clerk where application will be officially received and recorded.

Note: Processing time will be 15 working days

Department of Environment and Natural Resources
Environmental Management Bureau
Region _____

APPLICATION FOR CNC
(to be submitted in 3 complete sets)

Name of Proponent : _____
Contact No. : _____
Type of Project : _____
Project Location : _____

(Use additional sheet if necessary)

? Description of the Project

? Location and Area coverage:

? Capitalization and manpower requirements:

? For Process Industries: listings of raw materials to be used, description of the process or manufacturing technology, type and volume of products and discharges:

? For Environmental Enhancement or Direct Mitigation Project : Detailed description on how environmental efficiency and overall performance improvement will be attained, or how an existing environmental problem will be effectively solved or mitigated by the project:

? Timelines for construction and commissioning:

Supporting Documents:

- ✍ Location Map of the impacted site showing relevant features (e.g. slope, topography, human settlements:
- ✍ Proof of Ownership (OCT/TCT/Tax Declaration, Lease Contract, Others)

Department of Environment and Natural Resources

Environmental Management Bureau

Region _____

CERTIFICATE OF NON-COVERAGE

This _____ has been issued this certificate of Non-Coverage No. _____ on _____ in accordance with P.D. 1586, the Environmental Impact Assessment System.

EIA Division Chief

Regional Director

Note: Covering letter will also be given to the proponent for the exact coverage and scope of the project

I.2 ECC Application for IEE Checklists (For new single project located within ECA or Non- ECP)

Requirements:

- a. Accomplished IEE checklist (to be provided by RO's for specific type of project or can be downloaded at www.emb.gov.ph)
- b. Proof of Social Acceptability
 - Favorable endorsement from the LGU (Barangay or Municipality/City) and/or those specific requirements as specified in the IEE Checklists;
- c. Proof of Ownership or Right Over the Applied Area (any of the following)
 - OCT No.
 - in case of Tax Declaration, provide duly notarized Affidavit of Ownership or No Adverse Claimant
 - Contract of Lease, Operating Agreement, Deed of Assignment
 - Others as deemed appropriate
- d. Zoning Certification from DZA and/or HLRB or Locational Viability as deemed appropriate
- e. Project Plans
 - Location/Vicinity Map
 - Site Development Plan

IEE CHECKLIST PROJECTS

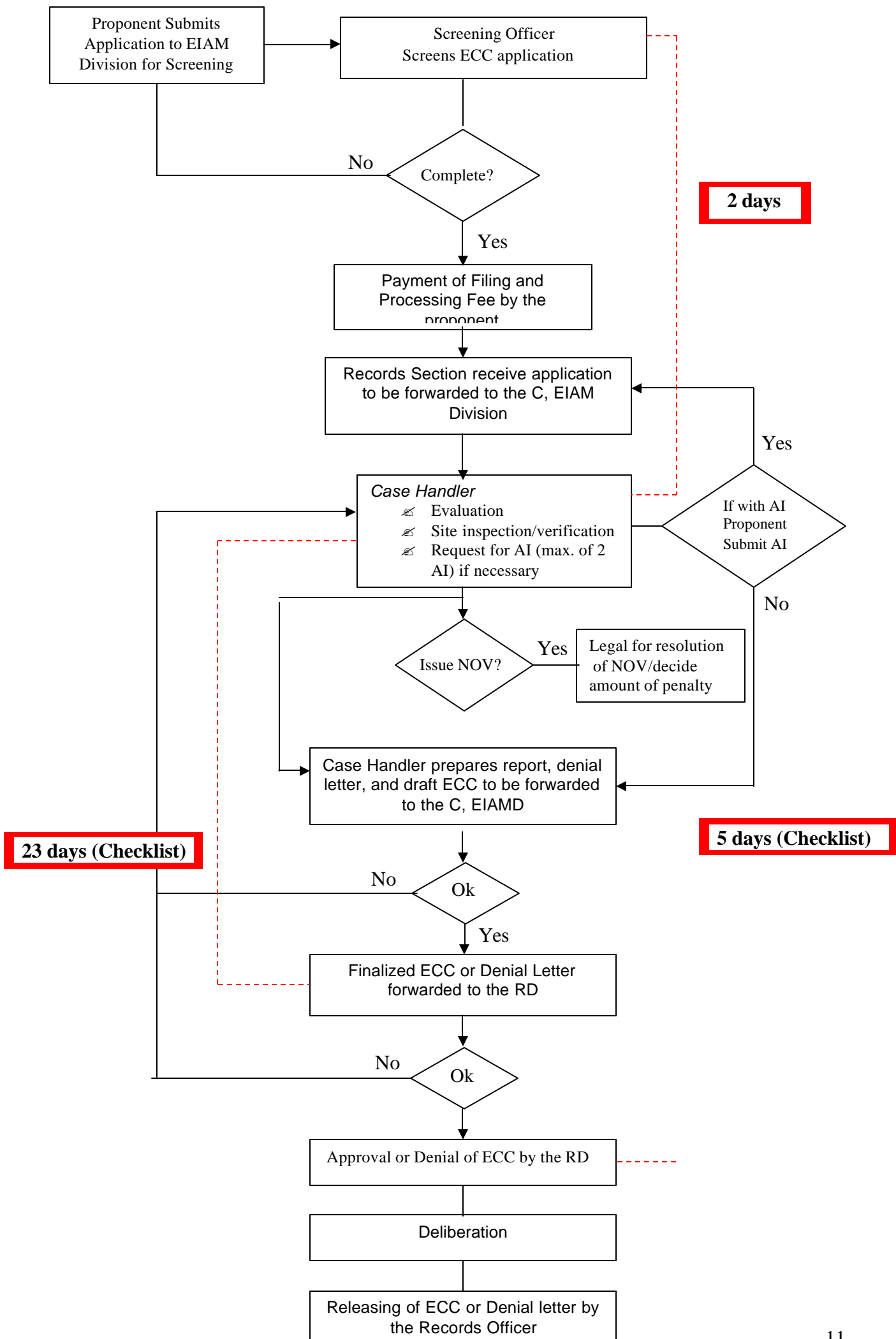
Type of Projects	Limits
Batching Plant	None
Community Based Forest Resources Utilization	Areas Less than 500 ha.
Fishery	Inland-based fishery project with water spread area from 300 sq. m to 10 ha.
Gasoline station	None
<p>Housing, land development and other building development projects that include the following:</p> <ul style="list-style-type: none"> ✍ Residential structures ✍ Commercial and business centers 	<ul style="list-style-type: none"> a. Apartments - livable floor area of above 1,000 sq. m b. Condominiums and apartelle - regardless of area c. Motels and hotels - 1,000 sq. m livable area and below a. Food Handling/Services – 1,000 sq. m of floor facilities area and below (except for kiosk type and mobile fastfood) b. Funeral Parlors – regardless of area c. Others (offices, retailers, wholesalers, etc) – above 1,000 sq. m to 10,000 sq. m of floor facility area
<p>Irrigation</p> <ul style="list-style-type: none"> ✍ Institutional ✍ Housing and land development 	<p>Irrigation systems with a service area greater than 300 ha. and less than or equal to 700 ha.</p> <ul style="list-style-type: none"> a. Educational/school structures - above 1,000 sq. m to 10,000 sq. m of floor facility area b. Religious Building facilities – 1,000 sq. m of floor facility area c. Hospital and medical buildings/facilities and below a. Resettlement – 10 ha. of land area and below b. Economic and Socialized Housing – less than 10 ha. of land area c. Open Market Housing – less than 10 ha. of land area d. Projects under Community Mortgage Program (CMP) – offsite CMPs
Land Transportation	Bus/Jeepney Terminal
LPG Storage/Refilling	Storage capacity of 5000 tons and above shall be required to conduct Environmental Risk Assessment

Marble Slab	Marble slab processing plant
Mini-hydro	MOA with DOE 1-10 MW; Less than 20million cubic meter water impoundment
Organic Fertilizer Manufacturing (Composting) Facilities	With an annual production capacity exceeding 10,000 bags (50kg/bag)
Plastic Recycling	None
Poultry	1,001 – 40,000 birds
Public market	None
Power barge	Less than 10 MW capacity
Power transmission lines & sub-stations	Greater than 230kv to 138kv w/ less than 20 km in length
Private land timber utilization (PLTU)	IEE checklist is applicable only for PLTU projects which employ the cutting of 11 to 100 primary or secondary growth trees of corresponding volume less than or equal to 200 cu.m in private titled land
Small-water impounding project (SWIP)	With not more than 20,000,000 cu. M of water
Reclamation	No checklist set guidelines for an acceptable reclamation project in coastal and lakeshore areas
Ricemill	More than 1 ton/hr. w/o multiple pass
Roads and Bridges	<p>a. Construction of major/national road network systems with a total length equal to or less than three (3) kilometers traversing an area of critical slope construction of major/national road network systems with a total length equal to or less than 10 km outside critical slope</p> <p>b. Rehabilitation of existing city and national roads which traverse an environmentally critical area (ECA), for Presidential Proclamation 2146</p> <p>c. Major rehabilitation of existing and/or national road network systems which will require the acquisition of additional 50% of the original right of way</p>
Sand and gravel	Not more than 5 ha.
Sewage (collection, transport, treatment and disposal)	None
Slaughterhouse	None
Small scale lime extraction project	Limited to an area of not more than 5 ha. beehive for cooking and simple processing such as classifier
Telecommunication antennae mobile phone, cell sites and similar facilities except for those:	.
<p>a. Located on top building (rented/leased space) and only include mounting pole/s (not stand alone towers); and</p> <p>b. Facilities located as “Co-locator”</p>	

(antennae/dishes are attached to towers owned by other companies).	
Tourism	<ul style="list-style-type: none"> a. "Boracay" proponents b. Beach resort projects c. Hotels, inns and similar accommodations within or near urban centers or built up areas with less than 20 rooms with eating facilities (hotels, cottages, motels, and lodging houses with 10-15 rooms with minimum facilities/amenities for resting and eating and some recreation; and ecotourism located in unique area/historical-cultural sites/protected areas under NIPAS Act)
Piggery farm	100 heads and above
Cold chain	Stationary/fixed facility used for storing agricultural products and shall be a component of Sustainable Logistics Dev't Program of DBP
Grains highway	Highways used for transporting the farmers' goods from the farm to the satellite aggregating/processing centers with a greater than 1 ton/hr where mechanical shelling, drying, and storing of grains in bulk take place. Then, through bulk trucks, the grains are transported to the main aggregating facilities where grains are dried and stored in silos and grains are transferred to the mechanical loading/unloading facilities in the port through the use of bulk carriers.
Ro-ro terminal	Under the Sustainable Logistics Dev't Program (SLDP) of DBP with Access Roads (= or less than 10 kilometers) and Terminal Facilities (= and above 1,000 sq. m. to 10,000 sq. m. of floor area)
Port expansion	Rehabilitation and Expansion of Port Facility for use of the SLDP

PROCEDURAL FLOW

ECC APPLICATION FOR IEE CHECKLIST



STEPS/PROCEDURES: (IEE Checklist)

1. Proponent submits ECC application to EMB Regional Office concerned.
2. Screening Officer from EIAM Division initially screens the application for completeness.
 - If complete: Proponent pays the application fee.
 - If not complete: ECC application returned to the proponent
3. Records Section receives the application and stamps the indicative processing timeframe of ECC application.
4. Application forwarded to EIAM Division.
5. REDS focal person enters database.
6. C, EIAM assigns ECC application to case handler for appropriate action.
7. Case handler conducts site inspection/verification;
 - *If OK, Draft ECC for comments of C, EIAMD
 - *If not and with violation: Draft AI letter (maximum of 2 request only) and/or Notice of Violation for comments of C, EIAMD and C, Legal Division. Prepare inspection report and submit to the C, EIAM
8. C, EIAM review and endorses application to the RD for final comment.
9. Regional Director approves or denies the application.
10. Deliberation of ECC to the proponent prior to release.
11. Release of ECC by the Records Section.

NOTE :

- Submitted AI will be forwarded directly to the C, EIAMD copy furnish the case handler. If no submission within the given processing timeframe, application will be returned without final action. Refer NOV to the Legal Division for resolution and imposition of penalty.

- Processing time will be 30 working days

Department of Environment and Natural Resources
Environmental Management Bureau
Region _____

**APPLICATION FOR ECC
(IEE CHECKLIST)**
(to be submitted in 4 complete sets)

Name of Proponent : _____
Contact No. : _____
Type of Project: : _____
Project Location : _____

1. Duly Accomplished IEE Checklist

2. Supporting Documents

- ✍ Proof of Social Acceptability
 - ✍ Favorable endorsement form the Brgy of Municipality
- ✍ Proof of Ownership
 - ✍ OCT No. : _____
 - ✍ OCT No. : _____
 - ✍ TD : _____
 - ✍ Contract of Lease
 - ✍ Oth (YES s. specify)
- ✍ Zoning Certification/Viability from HLURB
- ✍ Project Plans:
 - ✍ Location/Vicinity Map
 - ✍ Site Development Plan
- ✍ GIR for Housing Projects

Signature of Proponent

Designation

I.3 ECC Application for IEE Report (For new non-Environmentally Critical Project or located in ECAs)

Requirements:

- a. Proof of Social Acceptability
 - Resolution from Sangguniang Barangay/Bayan/Panlungsod and Proof of consultation conducted by the proponent
- b. Proof of Ownership or Right Over the Applied Area (any of the following)
 - OCT No.
 - in case of Tax Declaration, provide duly notarized Affidavit of Ownership or No Adverse Claimant
 - Contract of Lease, Operating Agreement, Deed of Assignment
 - Others as deemed appropriate
- c. Zoning Certification from DZA and/or HLRB or Locational Viability as deemed appropriate
- d. Accountability Statement of the IEE Preparer
- e. Project Plans
 - Location/Vicinity Map
 - Site Development Plan
- f. Geohazard Identification Report from Mines and Geosciences offices concerned for Projects located as per DAO 28, S. 2000
- g. Endorsement from PAMB if deemed appropriate
- h. Area clearance for Mining Project from MGB/PMRB

NOTE: For projects located within the Industrial Estate/Parks/Special ECOZONE and other areas specially declared as such by concerned authority, requirements on social acceptability and zoning are no longer necessary of which a certification from the administrator shall be submitted that the proposed project is within the development plan as deemed necessary.

Other Categories of NON-ECPs or Project within ECAs (p.8 of DAO 30-2003)

I.4 Category B2 (for Expansion Projects)

Requirements:

- I.4.1 Environmental Performance Report and Management Plan (single project)
- I.4.2 Programmatic Environmental Performance Report and Management Plan (co-located project)

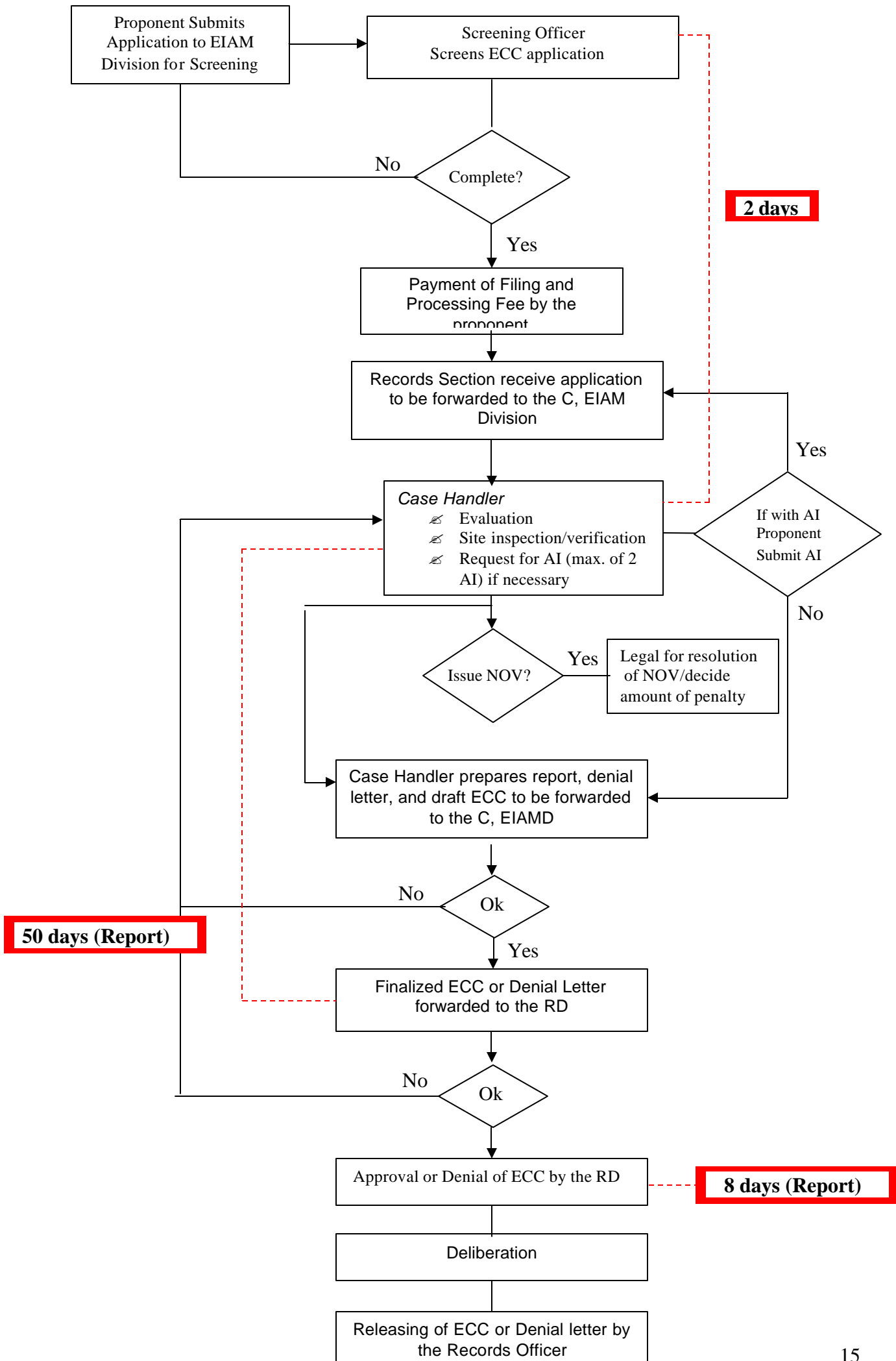
I.5 Category B3 (Existing w/o ECC)

Requirements:

- I.5.1 Environmental Performance Report and Management Plan (single project)
- I.5.2 Programmatic Environmental Performance Report and Management Plan (co-located projects)
- I.5.3 For non-availability of checklist, adopt requirements under Art. 2, Sec. 5.2.5 of DAO 2003-30

PROCEDURAL FLOW

ECC APPLICATION FOR IEE REPORT



STEPS/PROCEDURES: (IEE Report)

1. Proponent submits ECC application to EMB Regional Office concerned.
2. Screening Officer from EIAM Division initially screens the application for completeness.
If complete: Proponent pays the application fee.
If not complete: ECC application returned to the proponent
3. Records Section receives the application and stamps the indicative processing timeframe of ECC application.
4. Application forwarded to EIAM Division.
5. REDS focal person enters database.
6. C, EIAM assigns ECC application to case handler for appropriate action.
7. Case handler conducts site inspection/verification;
*If OK, Draft ECC for comments of C, EIAMD
*If not and with violation: Draft AI letter (maximum of 2 request only) and/or Notice of Violation for comments of C, EIAMD and C, Legal Division. Prepare inspection report and submit to the C, EIAM .
8. C, EIAM review and endorses application to the RD.
9. Regional Director approves or denies the application.
10. Deliberation of ECC to the proponent prior to release.
11. Release of ECC by the Records Section.

NOTE :

- Submitted AI will be forwarded directly to the C, EIAMD copy furnish the case handler. If no submission within the given processing timeframe, application will be returned without final action. Refer NOV to the Legal Division for resolution and imposition of penalty.

- Processing time will be 60 working days

Department of Environment and Natural Resources
Environmental Management Bureau
Region _____

**APPLICATION FOR ECC
(IEE REPORT)**
(to be submitted in 4 complete sets)

Name of Proponent : _____
Contact No. : _____
Type of Project: : _____
Project Location : _____

1. IEE REPORT

2. Supporting documents

- ✍ Proof of Social Acceptability
 - ✍ Favorable endorsement form the Brgy of Municipality
- ✍ Proof of Ownership
 - ✍ OCT No. : _____
 - ✍ OCT No. : _____
 - ✍ TD : _____
 - ✍ Contract of Lease
 - ✍ Others (pls. specify)
- ✍ Zoning Certification/Viability from HLURB
- ✍ Sworn Accountability Statement of Project Proponent (duly notarized);
- ✍ Sworn Accountability Statement of Project Proponent (duly notarized);
- ✍ Panoramic View of the Proposed Project Site;
- ✍ Project Plans:
 - ✍ Location/Vicinity Map
 - ✍ Site Development Plan
- ✍ GIR for Housing Projects
- ✍ PAMB Clearance, if project is located within core protected areas

Name and Signature

Destination

Department of Environment and Natural Resources

Environmental Management Bureau

Region _____

ENVIRONMENTAL COMPLIANCE CERTIFICATE

In accordance with P. D. 1586, the Philippine Environmental Impact Assessment System, this
_____, has been issued ECC No. _____ dated
_____, subject to the conditions specified in the ECC Document.

EIA Division Chief

EMB, Director

Note : Covering letter will also be given to the proponent

Format of ECC

ECC-RO-yr&month-application no.-industry type code

ENVIRONMENTAL COMPLIANCE CERTIFICATE

The Department of Environment and Natural Resources – Environmental Management Bureau, Region No. _____ hereby issues this guidelines to the **NAME OF PROJECT** located at **LOCATION OF PROPOSED PROJECT** after complying with the Environmental Impact Assessment (EIA) requirement as prescribed in the promulgated guidelines implementing Section 3 (b) of P.D. 1121, Section 4 of P.D. 1151, Section 2 of P.D. 1586 and DENR Administrative Order No. 2003-30.

This Certificate is not a permit and is being issued subject to the following conditions/guidelines/requirements:

CONSTRUCTION/OCCUPANCY PHASE :

1. _____

_____;
2. _____

_____;
3. _____

_____;
4. _____

_____;
5. _____

_____;
6. _____

_____;
7. _____

_____;

ECC-__-__-__-__
(Name of Proponent)
Reference Code: RO __ - __

II. OTHERS:

1. _____

 _____;
2. _____

 _____;
3. _____

 _____;
4. _____

 _____;

It is understood that the requirements of other concerned government agencies shall be complied with by the herein grantee.

Non-compliance with any of the above conditions shall be sufficient cause for the suspension or cancellation of this Certificate and/or payment of fine in an amount not to exceed **FIFTY THOUSAND PESOS (P 50,000.00)** for every violation thereof, at the discretion of the DENR- EMB Region ___ pursuant to Section 9 of P.D. 1586 and Section 16, Article IV of DENR Administrative Order No. 2003-30.

ECC fee duly paid as evidenced by **O.R. No.** _____ dated _____.

Given this _____.

Recommending Approval:

OIC Chief, EIAM Division

OIC, Regional Director
EMB- Region _____

Conforme:

Proponent

cc : EMB
PENRO, _____

ECC- - - - -
(Name of Proponent)
Reference Code: RO__-101

EMB CENTRAL OFFICE

ECC Application for Environmentally Critical Projects (ECPs) (new single project)

Must Document Requirements:

- ? Environmental Impact Statement (EIS) Report
- ? Accountability Statement from EIS Consultant and Project Proponent
- ? DA Certificate of Viability for Conversion (if in agricultural land and conversion is necessary)
- ? Land Title/Proof of Land Jurisdiction/Ownership
- ? PAWB Endorsement (if within protected area)
- ? SEC Registration
- ? NWRB Water Use Permit/Certificate of Water Availability
- ? Review Fund
- ? Electronic File of the EIS
- ? Environmental Tract Record (for expansion projects)
- ? Photocopy of TCT/OCT/CLT
- ? Zoning/Certificate of Locational Viability
- ? Barangay or Municipal or City Council Resolution/Proof of consultation with LGUs

Other Categories (see p 8 of DAO 30-2003)

Category A1 (for new co-located projects))

Requirements:

- Programmatic Environmental Performance Report and Management Plan (new co-located project)

Category A2(for expansion projects)

Requirements:

- Programmatic Environmental Performance Report and Management Plan (co-located projects)
- Environmental Performance Report and Management Plan (single project)

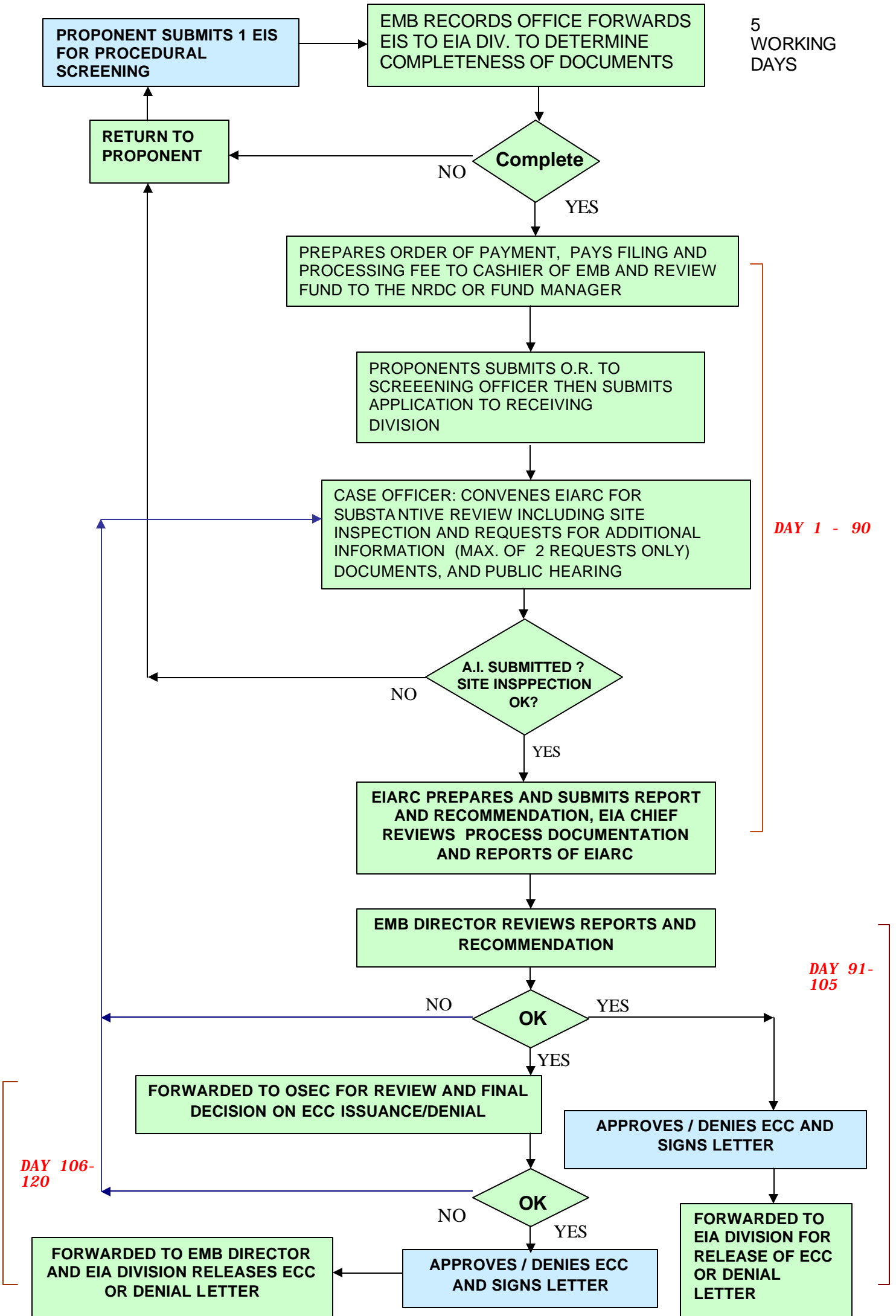
Category A3 (for existing projects without ECC)

Requirements:

- Programmatic Environmental Performance Report and Management Plan (co-located projects)
- Environmental Performance Report & Management Plan (single project)

PROCEDURAL FLOW

ECC APPLICATION FOR ENVIRONMENTALLY CRITICAL PROJECTS



Steps/Procedure: (ECP's)

1. Proponent consults EMB (EIAD) re: his project. (screening)
2. EIAD schedules scoping meeting.
 - 2.1. Assigns case handler
 - 2.2. Determines and notifies members of EIARC
 - 2.3. Meets proponent, case handler and members of EIRC for project scoping or requirements checklist
 - 2.4. Second level scoping – Public consultation
3. Proponent conducts EIA and prepares EIS based on the scoping or requirements checklist and second level scoping.
 - 3.1. Deposits EIA review fund with NRDC
 - 3.2. EIAD Case Handler determines completeness of documents.

START OF ONE HUNDRED TWENTY (120) DAY PROCESSING PERIOD

4. Proponent pays filing and processing fee with EMB Cashier.
 - 4.1. Proponent submits complete, procedurally screened EIS together with supporting documents to EMB record and forwards to EIAD.
 - 4.2. EIAD schedules RC meeting.
5. EIARC meets to review EIS (Several meetings).
 - 5.1. May request for additional information (up to 2 times)
 - 5.2. Conducts site inspection
 - 5.3. Conducts public hearing
 - 5.4. Prepares and submit final report and recommendation to EMB Director thru EIA Division Chief
6. EMB Director reviews final report and recommendation.
 - 6.1. If not OK, returns it to EIARC thru EIADC
 - 6.2. If OK;
 - 6.2.1. Signs / Approves ECC or
 - 6.2.2. Recommends ECC to the Secretary
7. Secretary either approves or denies ECC within 15 days.

Note: Processing time will be 120 working days

Department of Environment and Natural Resources
Environmental Management Bureau

ENVIRONMENTAL COMPLIANCE CERTIFICATE

**In accordance with P. D. 1586, the Philippine Environmental Impact Assessment System, this
_____, has been issued ECC No. _____ dated
_____, subject to the conditions specified in the ECC Document.**

EIA Division Chief

EMB, Director

Note: Covering letter will also given to the proponent

Format of ECC

ECC-____-____-____-____

ENVIRONMENTAL COMPLIANCE CERTIFICATE

The Department of Environment and Natural Resources – Environmental Management Bureau, Region No. _____ hereby issues this guidelines to the **NAME OF PROJECT** located at **LOCATION OF PROPOSED PROJECT** after complying with the Environmental Impact Assessment (EIA) requirement as prescribed in the promulgated guidelines implementing Section 3 (b) of P.D. 1121, Section 4 of P.D. 1151, Section 2 of P.D. 1586 and DENR Administrative Order No. 2003-30.

This Certificate is not a permit and is being issued subject to the following conditions/guidelines/requirements:

CONSTRUCTION/OCCUPANCY PHASE :

- 8. _____

_____;
- 9. _____

_____;
- 10. _____

_____;
- 11. _____

_____;
- 12. _____

_____;
- 13. _____

_____;
- 14. _____

_____;

ECC-____-____-____-____
(Name of Proponent)
Reference Code: EMB-____

II. OTHERS:

- 5. _____

 _____;
- 6. _____

 _____;
- 7. _____

 _____;
- 8. _____

 _____;

It is understood that the requirements of other concerned government agencies shall be complied with by the herein grantee.

Non-compliance with any of the above conditions shall be sufficient cause for the suspension or cancellation of this Certificate and/or payment of fine in an amount not to exceed **FIFTY THOUSAND PESOS (P 50,000.00)** for every violation thereof, at the discretion of the DENR- EMB Region ___ pursuant to Section 9 of P.D. 1586 and Section 16, Article IV of DENR Administrative Order No. 2003-30.

ECC fee duly paid as evidenced by **O.R. No.** _____ dated _____.

Given this _____.

Recommending Approval:

Chief, EIA Division

Director

Conforme:

Proponent

cc : EMB
PENRO, _____

ECC-__-__-__-__-__
(Name of Proponent)
Reference Code: RO__-__

Schedule of Fees (see DAO 2003-30)

A. Application for ECC

1. Category A-1 (Co-located Projects)	P 10,000.000
Procedural Screening Fee	1,500.00
Database Management Fee	3,000.00
Processing Fee	5,500.00
2. Category A-1(Single project), Category A-3(Co-located Project)	P 6,000.00
Procedural Screening Fee	600.00
Database Management Fee	2,000.00
Processing Fee	3,400.00
3. Category A-2, and B-1	P 4,000.00
Procedural Screening Fee	300.00
Database Management Fee	1,000.00
Processing Fee	2,700.00
4. Category B-2 and B-3	P 3,000.00
Procedural Screening Fee	300.00
Database Management Fee	1,000.00
Processing Fee	1,800.00

B. Certification of Non-Coverage

1. Category D	P 100.00
2. Category C	600.00

C. Post ECC Processing Services

1. Request for minor ECC Amendment (Article II, sec. 3-B item 2.1)	P 300.00/ application
2. Request for major ECC Amendment (Article II, sec. 3-B item 2.2)	P1,200.00/application

D. Other Services

1. Request for miscellaneous certifications	P 50.00/certification
2. Request for certified true/photocopy	25.00/document

